



Omgeo & Citi

Streamline your Post-Trade SMA Process

ALERT
Benchmarks
Central Trade Manager
Connect
OASYS
OASYS Global
OASYS-TradeMatch
TradeHub
TradeSuite

Omgeo and Citi have joined forces to deliver a streamlined, fully integrated SMA solution that brings greater levels of automation to the post-trade process, minimizing risk and increasing operational stability.

By combining Omgeo's market leadership in post-trade processing with Citi's world-class retail SMA outsourcing services, mutual clients can take advantage of a joint solution designed to maximize efficiencies and reduce risk in the middle and back office. In addition, clients can address the complexities of the SMA trade process where step-outs, trade-aways and third party settlement arrangements have become prevalent.

Omgeo and Citi's integrated approach enables investment managers to take advantage of Omgeo OASYSSM, the leading electronic trade allocation and acceptance service, directly from Citi's Investment Administration Services (IAS), without the need for additional on-site installation. OASYS enables investment managers of SMAs to submit retail trade allocations via OASYS directly to their broker/sponsor counterparts in addition to using OASYS for institutional trades. With this joint offering, Citi's IAS clients can now send trade allocations directly to their broker/sponsors, manage trade-aways by sending step-out allocations, and automatically generate affirmations for matched trades – all from Citi's Investment Administration Services.

Key Benefits

Utilizing Citi's Investment Administration Services with Omgeo OASYS, you can take advantage of a single system to manage trade allocations across all accounts, regardless of style or sponsor. Key benefits include:

- Leverage a single interface, Citi's IAS, to coordinate all U.S. domestic post trade processes across SMA and institutional business

- Gain instant access to Omgeo's global community of 6,000 users in 45 countries
- Reduce costs by eliminating duplication of effort, minimizing back-office overhead, and lowering processing costs associated with manual processes and point-to-point communications
- Send allocations to broker/sponsors automatically following trade execution from Citi's IAS proprietary Trade Hub for retail SMAs
- Effectively manage trade-aways by sending step-out allocations to multiple plan sponsors
- Take advantage of real-time monitoring status of trade activity
- Accept or reject trade details and allocations on the same business day and ensure that all details are correct prior to settlement
- Append standing settlement instructions (SSI) to the allocations with Omgeo ALERTSM, the largest Web-based global database for the maintenance and communication of SSI

Omgeo OASYS

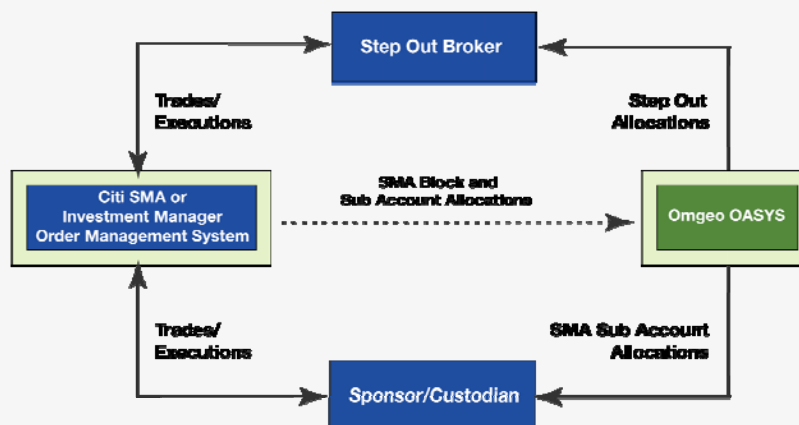
Omgeo OASYS is the U.S. domestic industry-standard trade allocation and acceptance service, communicating institutional and retail trade and allocation details between investment managers and broker/sponsors. A proven solution with more than 1,100 clients, OASYS replaces error-prone faxes, phone calls and e-mail by automating trade processing. Efficiency improves, costs are cut, and trade failure risk decreases, by providing counterparties with accurate and complete trade information.



Citi's Investment Administration Services (IAS)

Citi's Investment Administration Services for Retail Separately Managed Accounts is an end-to-end operations platform designed to facilitate the growth of separately managed accounts and provide increased automation and support between Investment Managers and Sponsors of SMAs. Citi's operations platform standardizes current and disparate processes across all aspects of the SMA industry, from account opening to reconciliation. Citi is the leading provider of retail SMA operations outsourcing services to the investment manager community with over 80,000 accounts.

How the Solution Works



The Companies

About Citi

Citi Markets & Banking is the most complete financial partner to corporations, financial institutions, institutional investors and governments in the world. As a global leader in banking, capital markets, and transaction services, with a presence in many countries dating back more than 100 years, Citi enables clients to achieve their strategic financial objectives by providing them with cutting-edge ideas, best-in-class products and solutions, and unparalleled access to capital and liquidity. Citi, the leading global financial services company, has some 200 million customer accounts and does business in more than 100 countries, providing consumers, corporations, governments and institutions with a broad range of financial products and services, including consumer banking and credit, corporate and investment banking, securities brokerage, and wealth management. Major brand names under the trademark red arc include: Citibank, CitiFinancial, Primerica, Citi Smith Barney and Banamex. Additional information may be found at www.citigroup.com or www.citi.com.

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker/dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 45 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

Contact Us

To learn more, please call an office listed on the left or visit www.omgeo.com/sma.

Americas
Omgeo LLC
22 Thomson Place
Boston, MA 02210
tel +1 866 49 OMGEO
askomgeoamerica@omgeo.com

Europe
Omgeo Ltd
Aldgate House
33 Aldgate High Street
London EC3N 1DL
tel +44 20 7369 7777
askomgeo@omgeo.com

Asia
Omgeo PLC Ltd
80 Robinson Road
#15-00
Singapore 068898
tel +65 6411 6888
askomgeoasia@omgeo.com

Japan
Omgeo K.K.
Palaceside Building
1-1-1, Hitotsubashi, Chiyoda-ku
Tokyo 100-0003
tel + 813 5218 6621
askomgeojapan@omgeo.com



www.omgeo.com

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